IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

CHAN et al.

Examiner:

Unknown

Serial No.:

10/537,963

Group Art Unit: Docket:

Unknown 11669.0150USW1

Confirmation

June 7, 2005

No.:

Filed:

1445

Title:

TRANSGENIC MICE EXPRESSING HUMAN CD20 AND/OR CD16

CERTIFICATE UNDER 37 CFR 1.10:

"Express Mail" mailing label number: EV 408494651 US

Date of Deposit: April 28, 2006

I hereby certify that this paper or fee is being deposited with the U.S. Postal Service "Expless Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450,

PATENT TRADEMARK OFFICE

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Sir:

We are transmitting herewith the attached:

Transmittal Sheet in duplicate containing Certificate of Mailing

Supplemental Information Disclosure Statement, Form 1449, 7 Reference(s)

Other: Copy of European Supplementary Search Report mailed April 3, 2006, Copy of International Search Report mailed August 6, 2004, Copy of International Search Report mailed February 23, 1999

Return postcard

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers or any future reply, if appropriate. Please charge any additional fees or credit overpayment to Deposit Account No. 13-2725. A duplicate of this sheet is enclosed.

> Merchant & Gould P.C. P.O. Box 2903 Minneapolis, MN 55402-0903 612.332.5300

Name: Katherine M. Kowalchyk

Reg. No.: 36,848

KMK/mkc

S/N 10/537,963 PATENT

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By:___

John Junker

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT (37 C.F.R. § 1.97(b))

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner. Enclosed for the Examiner's information is a copy of the European Supplementary Search Report and International Search Report. At least some of the references were first cited in a European Supplementary Search Report mailed April 3, 2006 and in an International Search Report mailed October 8, 2004. Documents US 2002/0064823 by Welcher et al. and WO 99/00010 (1/1999) were first cited in the European Search Reported dated April 3, 2006.

This statement should be considered because it is submitted before the mailing date of a first Office Action on-the-merits. Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449.

A copy of any foreign patent document or "Other Document" listed on the Form 1449 is enclosed, in accordance with 37 C.F.R. §1.98(a)(2). Because this application was filed after June

30, 2003, copies of the U.S. Patents and U.S. patent publications listed on the enclosed Form 1449 are not provided.

The Examiner is advised of co-pending Application U.S. Serial No. 10/538,125, filed June 7, 2005.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Please charge any additional fees or credit any overpayment to Deposit Account No. 13-2725.

23552 PATENT TRADEMARK OFFICE

Dated: April 28, 2006

Respectfully submitted,

MERCHANT & GOULD P.C. P.O. Box 2903

Minneapolis, MN 55402-0903

(612)332.5300

1) ather M. Kowalchyk

Reg. No.: 36,848

KMK/mkc

Date Mailed: April 28, 2006 Sheet 1 of 1

(Use several sheets if necessary)

IN AN APPLICATION	Applicant: CHAN et al.			
SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT	11669.150USW1	10/537,963		
FORM 1449*	Docket Number:	Application Number:		

Filing Date: June 7, 2005

Group Art Unit: Unknown

			U	.S. PATENT DOCUMEN	TS			
EXAMINER INITIAL	DOCUMENT NO.		DATE	NAME	CLASS	SUBCLASS FILING I		
	5,859,312		01/12/1999	Littman et al.			_	-
	2002/0064823 A1		5/2002	Welcher et al.				
			FOR	EIGN PATENT DOCUM	ENTS			
	DOCUMENT NO.		DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	95/15376 A	A	6/1995	PCT				
	95/28959 A	A	11/1995	PCT				
-	99/00010		1/1999	PCT				
		OTHER	DOCUMENTS	(Including Author, Title, I	Date, Pertinent I	Pages, Etc.)		
•		Heijnen, I. et al. "Antigen targeting to myeloid-specific human Fc-gamma-RJ-CD64 triggers enhanced antibody responses in transgenic mice cd," JOURNAL OF CLINICAL INVESTIGATION, 97(2):331-338, January 1996						
		Guyre, P. et al. "Increased potency Fc-receptor-targeted antigens," CANCER IMMUNOLOGY IMMUNOTHERAPY, 45(3-4):146-148, 1997						
			-Gamma transg	iration of CD4-CD8-Fc-Gar enic mice," JOURNAL OF				
		Scharenberg, A. et al. "The FcRbeta subunit functions as an amplifier of Fc Rgamma mediated cell activation signal," THE JOURNAL OF ALLERGY AND CLINICAL IMMUNOLOGY, 99(1, part 2):s406, January 199						
		Copy of European Search Report mailed April 3, 2006 Copy of International Search Report mailed August 6, 2004						
		Copy of In	ternational Sear	ch Report mailed February	23, 1999			

23552
PATENT TRADEMARK OFFICE

EXAMINER	DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.